

PATENT Attorney Docket No. INL-054DV (4643/107)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Dahlbäck et al.

SERIAL NO .:

10/037,296

GROUP NO.:

1644

FILING DATE:

December 21, 2001

EXAMINER:

Not yet assigned.

TITLE:

Sir:

METHODS AND REAGENTS FOR DETERMINING PROTEIN S

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 10th day of December, 2002.

Commissioner for Patents Washington, D.C. 20231

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Submitted herewith are:

- 1. Transmittal Form (1 page);
- 2. Information Disclosure Statement (2 pages);
- 3. Form PTO-1449 (13 pages);
- 4. References Labeled A1 A44, B1 B16 and C1-C156; and
- 5. A return receipt postcard.

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	- 1		Application	Serial Number	10/03	37,296	
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	FORM		Attorney Do	cket No.	INL-	054DV	CENTER
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	☐ Check Attached☐ Copy of Fee Transmittal Form		Formal Drawin	ng(s)		Appeal Brief (in trip	licate)
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	☐ Affidavits/declaration(s) ☐ Letter to Official ☐ Draftsperson		Power of Attorney (Revocation of Prior Powers)		\boxtimes	Certificate of First Class Mailin under 37 C.F.R. 1.8	
	including Drawings [Total Sheets]		Terminal Disclaimer			Certificate of Facsim Transmission under	
	Petition for Extension of Time			aration and Power Utility or Design		Additional Enclosure (please identify below	
\boxtimes	Information Disclosure Statement Form PTO-1449		Small Entity S	tatement			
	(13 pgs.) Copies of IDS Citations (A1-A44, B1-B16 and C1-C156)		CD(s) for large program	e table or computer			
	Certified Copy of Priority Document(s)			fter Allowance			
	Sequence Listing submission Paper Copy/CD Computer Readable Copy Statement verifying identity of above		Request for Certificate of Correction Certificate of Correction (in duplicate)				
CORRESPONDENCE ADDRESS				SIGNATURE BL	OCK	D (CM 1 1)	1
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100			Date: December 10, Reg. No. 43,153 Tel. No.: (617) 310 Fax No.: (617) 248	-8168	Diana M. Steel Attorney for the App Testa, Hurwitz & Th High Street Tower 125 High Street Boston, MA 02110	licants	



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Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

\boxtimes	(1)	within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the first Office action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. 1.114; or
	(2)	after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and
		the requisite Statement is below, OR
		the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or

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(3)	after the mailing date of a final action or notice of allowance but before the payment of the issue fee, AND	ECH CENT
	the requisite Statement is below, AND	57 18
	the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.	190012

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that:

- 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R.

 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: December 10, 2002

Reg. No. 43,153

Tel. No.: (617) 310-8168 Fax No.: (617) 248-7100 Diana M. Steel

Attorney for Applicants

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PRM PTO – 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: INL-054DV

APPLICANTS: Dahlbäck et al.

SERIAL NO.: 10/037,296

FILING DATE: December 21, 2001

GROUP: 1644

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	Al	4,622,389	11/11/86	Nagasawa et al.	530	331	05/09/85
	A2	4,692,406	09/08/87	Becker et al.	435	13	08/20/84
	A3	4,755,461	07/05/88	Lawson et al.	435	13	04/17/86
	A4	4,849,403	07/18/89	Stocker et al.	514	2	05/09/86
	A5	5,001,069	03/19/91	Bartl et al.	436	86	10/04/88
	A6	5,051,357	09/24/91	Hassouna	435	13	08/21/89
	A7	5,059,525	10/22/91	Bartl et al.	435	13	05/29/90
	A8	5,147,805	09/15/92	Preda et al.	436	86	06/29/90
	A9	5,169,786	12/08/92	Carroll et al.	436	69	12/19/89
	A10	5,187,067	02/16/93	Koike et al.	435	7.9	03/14/91
· <u></u>	A11	5,200,322	04/06/93	Matsumoto	435	13	02/28/91
	A12	5,223,427	06/29/93	Edgington et al.	435	240.27	03/09/88
	A13	5,254,350	10/19/93	Barrow et al.	424	570	07/22/91
	A14	5,270,451	12/14/93	Hawkins et al.	530	381	08/06/92
	A15	5,308,756	05/03/94	van de Waart et al.	435	13	11/20/91
	A16	5,314,695	05/24/94	Brown	424	450	10/29/91
	A17	5,358,853	10/25/94	Butler et al.	435	13	08/03/92
<u> </u>	A18	5,374,617	12/20/94	Morrissey et al.	514	8	05/13/92
	A19	5,391,380	02/21/95	Barrow et al.	424	570	05/14/93
	A20	5,405,946	04/11/95	Griffin et al.	530	380	12/02/92
	A21	5,418,141	05/23/95	Zweig et al.	435	13	05/06/94
	A22	5,426,031	06/20/95	Hawkins et al.	435	13	05/25/93
	A23	5,439,802	08/08/95	Rosén	435	13	01/14/92
	A24	5,443,960	08/22/95	Dahlbäck	435	13	05/27/94
	A25	5,472,850	12/05/95	Morrissey	435	13	04/10/91
EXAM	INER		<u> </u>	DAT	TE CONSIDERE	D	

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ATTORNEY DOCKET NO.: INL-054DV

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1644

U.S. PATENT DOCUMENTS

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	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
A26	5,502,651	03/26/96	Jackson et al.	364	509	05/02/94
A27	5,504,064	04/02/96	Morrissey et al.	514	8	02/19/93
A28	5,504,067	04/02/96	Morrissey et al.	514	8	10/12/94
A29	5,506,112	04/09/96	Lang et al.	435	13	01/10/92
A30	5,508,170	04/16/96	Butler et al.	435	13	06/03/94
A31	5,525,478	06/11/96	Matschiner	435	13	06/07/95
A32	5,597,700	01/28/97	Konstantinov et al.	435	7.92	04/28/94
A33	5,643,739	07/01/97	Varadi et al.	435	13	05/01/95
A34	5,705,395	01/06/98	Griffin et al.	436	69	11/14/94
A35	5,716,795	02/10/98	Matschiner	435	13	01/03/96
A36	5,726,028	03/10/98	Kraus	435	13	08/04/95
A37	5,753,510	05/19/98	Kraus	436	16	11/07/95
A38	5,766,869	06/16/98	Arkel et al.	435		11/27/96
A39	5,780,255	07/14/98	Preda	435	23	06/09/95
A40	5,834,223	11/10/98	Griffin et al.	435	13	12/18/97
A41	5,858,724	01/12/99	Novy, Jr. et al.	435	69.6	07/16/96
A42	5,874,256	02/23/99	Bertina et al.	435		02/21/97
A43	6,083,757	07/04/00	Griffin et al.	436		11/10/98
144	6,203,816B1	03/20/01	Brown			04/24/95
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FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B1	0 229 234 A1	07/22/87	EP			10/16/86	English	No
	B2	0 271 810 A2	06/22/88	EP			12/08/87	No	Yes

EXAMINER

DATE CONSIDERED

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FORM PTO – 1449

EXAMINER

INFORMATION DISCLOSURE **STATEMENT**

ATTORNEY DOCKET NO.: INL-054DY

APPLICANTS: Dahlbäck et al.

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DATE CONSIDERED

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			F	OREIGN PATI	ENT DOCU	JMENTS			8
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	В3	0 286 323 B1	12/15/93	ЕР			03/31/88	No	Yes
	B4	0 434 377 B1	08/23/95	EP			12/18/90	No	Yes
	B5	88/07543	10/06/88	PCT			03/29/88	No	Yes
	B6	90/11368	10/04/90	PCT			03/19/90	No	Yes
	B7	91/01382	02/07/91	PCT			07/04/90	No	Yes
	B8	91/01383	02/07/91	PCT			07/5/90	No	Yes
	B9	91/02812	03/07/91	PCT			08/06/90	No	Yes
	B10	92/08479	05/29/92	PCT			11/04/91	No	Yes
	B11	93/01209	01/21/93	PCT		· · · · · · · · · · · · · · · · · · ·	07/02/92	No	Yes
	B12	93/07492	04/15/93	PCT			09/25/92	No	Yes
	B13	93/10261	05/27/93	PCT		· ·	05/13/92	No	Yes
	B14	93/13211	07/08/93	PCT			12/29/92	No	Yes
	B15	93/23074	11/25/93	PCT			05/12/93	No	Yes
	B16	95/21938	08/17/95	PCT			02/14/95	No	Yes
			ОТНЕ	R ART, JOURN	AL ARTIC	CLES, ETC			
EXAM. NIT.	ОТН	ER DOCUMEN'	ΓS: (Includi	ng Author, Tit	le, Date, Ro	elevant Pa	ges, Place of	Publication)	
	C1	Amer <i>et al.</i> "Impairment of the Protein C Anticoagulant Pathway in a Patient with Systemic Lupus Erythematosus, Anticardiolipin Antibodies and Thrombosis," <u>Thrombosis Research</u> , 57:247-258 (1990).							ous (1990).
	C2	Amiral et al. "N	Amiral et al. "New Direct Assay of Free Protein S Antigen Using Two Distinct Monoclonal Antibodies Specific for the Free Form," Blood Coag. Fibrin., 5:179-186 (1994).						
	C3	"Antigen-Antibo	ody Crossed I	Electrophoresis,	" Analytica	l Biochem	istry, 10(2):35	58-361 (1965).	
	C4	Andrews et al. Gene, 98:265-26	'Conservation 9 (1991).	of Tissue Fact	or Primary	Sequence .	Among Three	Mammalian Sp	ecies,"
	C5	Bader et al. "Me and Synthetic Ph	ulticentric Ev	aluation of a Ne	ew PT Reag	ent Based 292-299 (on Recombin 1994).	ant Human Tissu	ie Factor

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INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: INL-054DV

APPLICANTS: Dahlbäck et al.

SERIAL NO.: 10/037,296

		GROUP:		1644			
1	OTHER ART, JOURN						
C6	Baele et al. "Comparison of a Recombinant Control," Haemost., 26:11-15 (1996).	Thrombopla	istin w	ith Thrombotest™ for Oral Anticoagulant			
C7	Bauer "Hypercoaguability-A New Cofactor of Medicine, 330:566-567 (1994).	in the Protei	in C A	nticoagulant Pathway," New England Journal			
C8	Beauchamp <i>et al.</i> "High Prevalence of a Mu Relationship to Activated Protein C Resistan 222 (1994).						
C9	Beck et al. "Protein C: ein Inhibitor der Blu (1988).	ıtgerinnung,'	' <u>Diag</u>	nose & Labor, 38:35-42 (Abstract in English)			
C10	Bertina "Specificity of Protein C and Protein	n S Assays,"	Res. C	Clin. Lab., 20:127-138 (1990).			
C11	Bertina et al. "Mutation in Blood Coagulation Nature, 369:64-67 (1994).	on Factor V	Associ	ated with Resistance to Activated Protein C,"			
C12	Bertina et al. "Determination of Plasma Protein S - The Protein Cofactor of Activated Protein C," <u>Thrombosis Haemostasis</u> , 53:268-272 (1985).						
C13	Blangero <i>et al.</i> "Normal Activated Protein C p. 226 (6/9/97).	Blangero et al. "Normal Activated Protein C Response has a Large Genetic Component," Florencia, PS-920, p. 226 (6/9/97).					
C14	Blangero et al. "Genetic Factors Influence Quantitative Variation in Tissue Factor Pathway Inhibitor," Florencia, PS-1666, p. 409 (1997).						
C15		Blombäck <i>et al.</i> "Chromogenic Peptide Substrates in the Laboratory Diagnosis of Clotting Disorders," <u>Haemostasis and Thrombosis</u> , Bloom and Thomas (eds.), 2 nd ed, 967-981 (1987).					
C16	Boyer-Neumann et al. "Comparison of Func Patients with Congenital and Acquired Defic						
C17	Chromogenix, Coatest APC Resistance Kit in	nstructions, N	May 19	93.			
C18	Chromogenix, Coatest APC Resistance Kit B	rochure, Jun	e 1993	3.			
C19	Comp "Laboratory Evaluation of Protein S S (1990).	Comp "Laboratory Evaluation of Protein S Status," <u>Seminars in Thromb. and Haemost.</u> , 16(2):177-181 (1990).					
C20	Comp et al. "An Abnormal Plasma Distribution of Protein S Occurs in Functional Protein S Deficiency" Blood, 67(2):504-508 (1986).						
C21	Cornillon et al. "Rat Coagulation Factor V Purification and Production of the Monospecific Antiserum," Comp. Biochem. Physiol., 83B, 2: 397-401 (1986).						
C22	"Correlation of the APC Ratio with Free Protein S Antigen and with Protein C Activity" Appendix 5, 3 pages (Publication date not known).						
C23	Cripe et al. "Structure of the Gene for Huma	n Coagulatio	n Fact	or V," <u>Biochemistry</u> , 31: 3777-3785 (1992).			
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INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: INL-054DV

APPLICANTS: Dahlbäck et al.

SERIAL NO.: 10/037,296

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1644

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	OTHER ART, JOURNAL			600/290		
C24	Dahlbäck "Protein S and C4b-Binding Protein: Anticoagulant System" Thromb. Haemost., 66(1	Components 1):49-61 (1991)	Involved in the Regulation of the Protein).	n C		
C25	Dahlbäck <i>et al.</i> "Primary Structure of Bovine V 83:4199-4203 (1986).	itamin K-Depe	endent Protein S" Proc. Natl. Acad. Sci.	,		
C26	Protein S Using Monoclonal Antibodies" J. Biol	. Chem., 265(1	4):8127-8135 (1990).	nt		
C27	Saunders Company, Philadelphia, PA, 599-627 (1994).				
C28	Dahlbäck et al. "Resistance to Activated Protein Hematol., 72:166-176 (1996).	C, the FV:Q ⁵⁰	⁹⁶ Allele, and Venous Thrombosis," <u>Ani</u>	n.		
C29	Dahlbäck "New Molecular Insights into the Gen Caused by Arg ⁵⁰⁶ to Gln Mutation in Factor V as Thromb. Haemost., 74(1):139-148 (1995).	etics of Thron a Pathogenic	nbophilia. Resistance to Activated Prote Risk Factor for Venous Thrombosis,"	ein C		
C30	Dahlbäck "Factor V Gene Mutation Causing Inh Venous Thromboembolism," <u>J. Intern. Med.</u> , 237	erited Resistar 7:221-227 (199	nce to Activated Protein C as a Basis for (5).			
C31	Dahlbäck et al. "Inherited Resistance to Activate Activity Found to be a Property of Factor V," Pro	ed Protein C is oc. Natl. Acad.	Corrected by Anticoagulant Cofactor Sci., 91:1396-1400 (1994).			
C32	Dahlbäck "A New Model for Coagulation Factor J. Clin. Lab. Invest., 48, Suppl. 191:47-61 (1988).	V Suggesting	a Unique Mechanism of Activation," S	cand.		
C33	Dahlbäck "Factor VIII Defect Associated with Fa Abstract 39:658 (1991).	amilial Throm	bophilia," Thrombosis & Haemostatis, (55,		
C34	Dahlbäck et al. "Familial Thrombophilia Due to Poor Anticoagulant Response to Activated Protein Natl. Acad. Sci., 90:1004-1008 (1993).	a Previously U n C: Prediction	Inrecognized Mechanism Characterized of a Cofactor to Activated Protein C,"	by <u>Proc.</u>		
C35	Dahlbäck "Thrombophilia: The Discovery of Act Incorporating Molecular Genetic Medicine, Acade	ivated Protein emic Press, (H	C Resistance," Advances in Genetics: all et al. eds.), 135-175 (1995).			
C36	Dahlbäck <i>et al.</i> "A Natural Anticoagulant Pathwa Thrombomodulin," <u>Haemostasis and Thrombosis</u> 698 (1994).	y: Proteins C, (Bloom et al. e	S, C4b-Binding Protein an eds.), 3 rd edition, Churchill Livingstone,	671-		
C37	Dahlbäck "The Protein C Anticoagulant System: Inherited Defects as Basis for Venous Thrombosis," Thrombosis Research, 77:1-43 (1995).					
C38	D'Angelo "7 Prothrombin Time Standardization: The Problem of the Control Plasma," <u>Eur. J. Clin. Chem. Clin. Biochem.</u> , 33(12):1019-1022 (1995).					
C39	Denson et al. "Validity of the INR System for Pat 73(1):162 (1995).	ients with Live	er Impairment," Thromb. Haemost.,			
C40	Deutsche Norm, DIN 58 911 "Kalibrierung ven G translation provided) (1988).	erinnugngszei	t-MeBverfahren," 3 pages (English	-		
EXAMINER		DATE CO	NSIDERED			

FORM PTO – 1449 ENFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: INL-054DV

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	ORG							
	OTHER ART, JOURNAL A							
C	,	pages (English translation provided) (1987).						
C4	Diagnostika Stago "STACLOT® PROTEIN C Additional Information," 1 page (Publication date unknown).							
C ²	Diagnostica Stago "STACLOT® PROTEIN S Add	Diagnostica Stago "STACLOT" PROTEIN S Additional Information," 1 page (Publication date unknown).						
C4	DiScipio <i>et al.</i> "A Comparison of Human Prothro Factor), and Protein S," <u>Biochem.</u> , 16:698-704 (19	ombin, Factor IX (Christmas Factor), Factor X (Stuart 277).						
C4	Duchemin et al. "A New Assay Based on Thromb Protein S Deficiencies in Plasma," <u>Thomb. and Ha</u>	oin Generation Inhibition to Detect Both Protein C and aemost., 71(3):331-338 (1994).						
C4	Edson <i>et al.</i> "Laboratory Diagnosis of Inherited Pr (1990).	rotein S Deficiency," Am. J. Clin. Pathol., 94(2):176-186						
C4	7 Esmon "The Roles of Protein C and Thrombomod Chem., 264(9):4743-4746 (1989).	lulin in the Regulation of Blood Coagulation," J. Biol.						
C4	8 Esmon "The Regulation of Natural Anticoagulant	Pathways," Science, 235:1348-1352 (1987).						
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